Southern Mutual Bank

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Application Control Allowlist Review — Approval and Sign-off Record — Q2 2026

Register · synthetic assessor-grade test document

Generated 09 November 2026 · CyberSentien test harness · personalised to Southern Mutual Bank

Register ID	SOU-REG-1222
Version	2.2
Owner	James O'Connor (Head of Operational Risk)
Classification	Internal Use Only
Status	Maintained — current
Last reviewed	20 Oct 2026
Review cycle	Quarterly

1. Purpose

This register records the application control allowlist review — approval and sign-off record — q2 2026 maintained by Southern Mutual Bank. It is reviewed on a defined cycle; overdue or expired entries are escalated to the accountable owner and the Executive Risk Committee. It is the review of the application control allowlisting rules: each allowlist rule, the executables, scripts and installers it permits, the review decision, the approval and sign-off of the rule owner, and the rules removed because the software is no longer authorised. System hardening review record.

2. Register entries

Allowlist rule	Executables / scripts / installers permitted	Rule type	Rule owner review decision	Approval and sign-off	Result
Publisher — Microsoft Corporation	Windows and Office binaries	Publisher certificate	Retain — rule justified, application control enforced	Approved and signed off 14 Oct 2026 by Mei-Lin Tan (rule owner)	Retained
Publisher — Temenos	Core banking client	Publisher certificate	Retain — rule justified, application control enforced	Approved and signed off 03 Nov 2026 by Priya Sharma (rule owner)	Retained

Allowlist rule	Executables / scripts / installers permitted	Rule type	Rule owner review decision	Approval and sign-off	Result
Path — C:\Program Files*	Installed applications	Path (admin-writable only)	Retain — rule justified, application control enforced	Approved and signed off 05 Nov 2026 by James O'Connor (rule owner)	Retained
Hash — teller-client-4.2.1.exe	Teller application	File hash	Retain — rule justified, application control enforced	Approved and signed off 16 Oct 2026 by David Okafor (rule owner)	Retained
Publisher — CrowdStrike	EDR sensor	Publisher certificate	Retain — rule justified, application control enforced	Approved and signed off 29 Oct 2026 by Tom Bauer (rule owner)	Retained
Script — signed PowerShell modules	Operations scripts	Signed script	Retain — rule justified, application control enforced	Approved and signed off 07 Nov 2026 by Aisha Khan (rule owner)	Retained
Hash — legacy-reporting-tool.exe	Retired reporting tool	File hash	Remove — software no longer authorised	Approved and signed off 11 Oct 2026 by Laura Mendez (rule owner)	Rule removed
Path — %TEMP%\vendor-installer*	Vendor installer	Path (user-writable)	Tighten — user-writable path replaced by hash rule	Approved and signed off 16 Oct 2026 by Daniel Wright (rule owner)	Rule replaced
Publisher — Adobe	PDF reader	Publisher certificate	Retain — rule justified, application control enforced	Approved and signed off 27 Oct 2026 by Nadia Petrova (rule owner)	Retained
Hash — finance-macro-runner.exe	Finance macro runner	File hash	Retain — rule justified, application control enforced	Approved and signed off 29 Oct 2026 by Greg Thompson (rule owner)	Retained

Allowlist rule	Executables / scripts / installers permitted	Rule type	Rule owner review decision	Approval and sign-off	Result
Publisher — Veeam	Backup agent	Publisher certificate	Retain — rule justified, application control enforced	Approved and signed off 31 Oct 2026 by Mei-Lin Tan (rule owner)	Retained
Installer — msi signed by IT packaging	Packaged installers	Signed installer	Retain — rule justified, application control enforced	Approved and signed off 20 Oct 2026 by Priya Sharma (rule owner)	Retained

3. Control framework mapping

Framework	Indicative mapped controls
APRA CPS 234	Information security capability, Control testing, Incident notification
Essential Eight	ML2 target
ISO/IEC 27001:2022	A.5.15, A.5.18, A.8.2, A.8.16, A.5.23
SOC 2 (TSC)	CC6.1, CC6.2, CC6.3, CC7.2